

„Vorfahrt für wen? Priorisierung im Gesundheitswesen“

# Priorisierung in anderen Gesundheitssystemen

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# 1. Typ „Prinzipien & Methoden“

(zur Anwendung Makro- und Mikroebene)

- Hintergrund/ Ziel: regionale Unterschiede („post-code lottery), Wartelisten  
-> Unterversorgung vermeiden
- Beispiele:
  - Norwegen Lønning I & II (1987/ 1997)
  - Schweden Parlamentskommission (1993/ 1995)
  - Dänemark Ethikrat (1997)
  - Großbritannien National Institute for Health and Clinical Excellence (1999)*

## 2. Typ „Konkrete Listen“

- Ziel Ausweitung der (Medicaid-) Leistungsempfänger: Oregon/ USA ab 1989
- Ziel Festlegung Leistungskatalog:  
Niederlande Dunning Komitee (1992/1995),  
Israel Krankenversicherungsgesetz (1995)  
*einziges Land mit vom Parlament verabschiedetem Leistungskatalog*
- Ziel Ungleichheiten beim Zugang zu definierten Leistungen mindern:  
Neuseeland ab 1993



- Department
- About Us
- Contact Us
- Application and Benefits
- Client Information
- OHP Prioritized List
- Data and Publications
- Managed Care
- Public Notices/Meetings
- School-Based Hlth Svcs
- Tools for Policymakers
- Tools for Providers
- Tools for Staff
- OHP Policies
- DHS Home
- OHP Home

### The Prioritized List

The Prioritized List of Health Services helps determine what health care services the Oregon Health Plan (OHP) covers. For detailed information about the creation of the Prioritized List, see the Health Services Commission's [Brief History of Health Services Prioritization in Oregon](#) or the biennial reports to the Oregon legislature on the [HSC reports page](#). The [OHP Overview](#) also outlines how the List has changed over time, according to legislative mandates.

- [Brief overview of the Prioritized List](#)
- [Where to find Prioritized List information](#)
- [Prioritized List information for OHP providers](#)

#### Brief overview of the Prioritized List

From 1989-1993, the Oregon Legislature passed a series of laws known collectively as the Oregon Health Plan. One of those laws, **Senate Bill 27 (1989)**, extended Medicaid coverage to Oregonians with income below the federal poverty level. This bill also created the [Oregon Health Services Commission](#) (HSC) and charged the HSC to rank medical services in a way that represents the comparative benefits (*i.e.*, clinical effectiveness and cost-effectiveness) of each service to the entire population to be served. This ranking is known as the **Prioritized List of Health Services**.

- Following public hearings around the state, the HSC established the first Prioritized List with more than 700 lines that prioritized condition and treatment pairs for physical health, dental, chemical dependency and mental health services. Each line represents the rank order of those pairs. The List now contains 680 lines.
- The Legislature sets the funding level for the List, which determines the coverage policies for the OHP Plus and Standard benefit packages. For example, the current funding level is for lines 1 through 503 (out of the 680 lines).

# Das hat nicht funktioniert ...

## COST/UTILITY FORMULA USED IN FIRST PRIORITIZATION ATTEMPT

$$B_n = \frac{c}{Y * \left[ \sum_{i=1}^5 (p_{i1} * QWB_{i1}) - \sum_{i=1}^5 (p_{i2} * QWB_{i2}) \right]}$$

[With Treatment]                      [Without Treatment]

**with**  $QWB_{ik} = 1 + \sum_{j=1}^{30} d_{ijk} w_j$                        $k=1,2$

where:

- $B_n$  = the net benefit value ratio for the nth condition/treatment pair to be ranked.
- $c$  = cost with treatment, including all medications and ancillary services as well as the cost of the primary procedure.
- $Y$  = the years for which the treatment can be expected to benefit the patient with this condition.
- [term] = the difference in probability weighted QWBs with and without treatment.
- QWB = subjectively weighted sum of health limitations associated with a specific outcome.

# Kategorien seit 2006 (mit höherer Positionierung von Prävention und Disease Management)

- Category 1: Acute fatal condition, treatment prevents death with full recovery
- Category 2: Maternity care
- Category 3: Acute fatal condition, treatment prevents death without full recovery
- Category 4: Preventive care for children
- Category 5: Chronic fatal condition, treatment improves life span and quality of life
- Category 6: Reproductive services (excluding maternity and infertility services)
- Category 7: Comfort care
- Category 8: Preventive dental care
- Category 9: Proven effective preventive care for adults
- Category 10: Acute non-fatal conditions, treatment causes return to previous health state
- Category 11: Chronic non-fatal condition, one-time treatment improves quality of life
- Category 12: Acute non-fatal condition, treatment does not result in a return to previous health state
- Category 13: Chronic non-fatal condition, repetitive treatment improves quality of life
- Category 14: Self-limiting conditions where treatment expedites recovery
- Category 15: Infertility services
- Category 16: Less effective preventive care for adults
- Category 17: Fatal or non-fatal condition, treatment causes minimal or no improvement in quality of life

**Horizontal + vertikal!**

PRIORITIZED LIST OF HEALTH SERVICES  
October 1, 2009

Diagnosis: PREGNANCY (See Guideline Notes

**Schwangerschaft - Mutterschutzleistungen**

Treatment: MATERNITY CARE

ICD-9: 640-673, 674.0, 674.2, 674.4-674.9, 675-679, V07.2, V22.0-V22.1, V23, V24, V28, V72.4, V89

CPT: 01958-01963, 01967-01969, 12021, 57022, 59000-59001, 59012, 59015, 59020, 59025, 59030, 59050-59051, 59070-59076, 59100, 59160-59622, 59830, 59866, 59871, 76801-76828, 84163, 84704, 86336, 96150-96154, 98966-98969, 99024, 99051, 99060, 99070, 99078, 99201-99360, 99366, 99374-99375, 99379-99444, 99468-99480, 99605-99607

HCPCS: G0406, G0407, G0408, S0265, S0270, S0271, S0272, S0273, S0274, S2401, S2402, S2403, S2405, S2411, S8055, S9208, S9209, S9211, S9212, S9213, S9214

Line: 1

Diagnosis: BIRTH OF INFANT (See Guideline Notes 64,65)

**Geburt**

Treatment: NEWBORN CARE

ICD-9: 760.6, 763, 765.29, 779.81-779.82, 779.84, 779.89, V30-V37

CPT: 92586, 98966-98969, 99024, 99051, 99060, 99070, 99078, 99201-99360, 99366, 99374-99375, 99379-99444, 99460-99464, 99468-99480, 99605-99607

HCPCS: G0406, G0407, G0408, S0270, S0271, S0272, S0273, S0274

Line: 2

Diagnosis: PREVENTIVE SERVICES, BIRTH TO 10 YEARS OF AGE (See Coding Specification Below) (See Guideline Notes 64,65) (See Prevention Table

**Prävention bei Kindern bis 10 J.**

Treatment: MEDICAL THERAPY

ICD-9: V01.0-V01.2, V01.4-V01.9, V02, V03.2, V03.5-V03.9, V04.0, V04.2-V04.3, V04.6, V04.81-V04.82, V04.89, V05.0-V05.1, V05.3-V05.4, V05.8, V06.1, V06.3-V06.6, V06.8, V07.0, V07.2, V20, V65.3, V65.41-V65.45, V70.6, V71.09, V72.0-V72.1, V73-V75, V77-V81, V82.0-V82.6, V82.8-V82.9

CPT: 90465-90472, 90633-90634, 90645-90663, 90669, 90680, 90681, 90696, 90698-90710, 90713-90714, 90716, 90718-90723, 90732-90734, 90740, 90744, 90747-90749, 92002-92014, 92586, 96110, 98966-98969, 99024, 99051, 99060, 99070, 99078, 99201-99360, 99366, 99374-99375, 99379-99444, 99468-99480, 99605-99607

HCPCS: G0008, G0009, G0010, G0396, G0397, G0406, G0407, G0408, S0270, S0271, S0272, S0273, S0274

Line: 3

**Interventions Considered  
and Recommended for the  
Periodic Health Examination**

**Leading Causes of Death**  
**Conditions originating in perinatal period**  
**Congenital anomalies**  
**Sudden infant death syndrome (SIDS)**  
**Unintentional injuries (non-motor vehicle)**  
**Motor vehicle injuries**

**Interventions for the General Population**

**SCREENING**

Height and weight  
 Blood pressure  
 Vision screen (3-4 yr)  
 Hemoglobinopathy screen (birth)<sup>1</sup>  
 Phenylalanine level (birth)<sup>2</sup>  
 T<sub>4</sub> and/or TSH (birth)<sup>3</sup>  
 Effects of STDs  
 FAS, FAE, drug affected infants<sup>4</sup>  
 Hearing, developmental, behavioral and/or  
 psychosocial screens<sup>5</sup>  
 Learning and attention disorders<sup>6</sup>  
 Signs of child abuse, neglect, family violence

**COUNSELING**

**Injury Prevention**

Child safety car seats (age <5 yr)  
 Lap-shoulder belts (age >5 yr)  
 Bicycle helmet; avoid bicycling near traffic  
 Smoke detector, flame retardant sleepwear  
 Hot water heater temperature <120-130°F  
 Window/stair guards, pool fence, walkers  
 Safe storage of drugs, toxic substances,  
 firearms and matches  
 Syrup of ipecac, poison control phone number  
 CPR training for parents/caretakers  
 Infant sleeping position

**Diet and Exercise**

Breast-feeding, iron-enriched formula and  
 foods (infants and toddlers)

Limit fat and cholesterol; maintain caloric balance;  
 emphasize grains, fruits, vegetables (age >2 yr)  
 Regular physical activity\*

**Substance User**

Effects of passive smoking\*  
 Anti-tobacco message\*

**Dental Health**

Regular visits to dental care provider\*  
 Floss, brush with fluoride toothpaste daily\*  
 Advice about baby bottle tooth decay\*

**Mental Health/Chemical Dependency**

Parent education regarding:

- Child development
- Attachment/bonding
- Behavior management
- Effects of excess TV watching
- Special needs of child and family due to:
  - Family stress or disruption
  - Health problems
  - Temperamental incongruence with parent
  - Environmental stressors such as  
community violence or disaster,  
immigration, minority status,  
homelessness
- Referral for MHCD and other family support services as  
indicated



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## Interventions for the High-Risk Population

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POPULATION	High risk for mental health disorders
	POTENTIAL INTERVENTIONS
	(See detailed high-risk definitions)
Preterm or low birth	Hemoglobin/hematocrit (HR1)
Infants of mothers at risk for HIV	HIV testing
Low income; immigrants	Hemoglobin/hematocrit (HR1); PPD (HR3)
TB contacts	PPD (HR3)
Native American/Alaska Native	Hemoglobin/hematocrit (HR1); PPD (HR3); hepatitis A vaccine (HR4); pneumococcal vaccine (HR5)
Residents of long-term care facilities	PPD (HR3); hepatitis A vaccine (HR4); influenza vaccine (HR6)
Certain chronic medical conditions	Blood lead level (HR7)
Increased individual or community lead exposure	Daily fluoride supplement (HR8)
Inadequate water fluoridation	Avoid excess/midday sun, use protective clothing* (HR9)
Family h/o skin cancer; nevi; fair skin, eyes, hair	Screen for child abuse, neurological, mental health conditions
History of multiple injuries	Increased well-child visits (HR10)

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### High-Risk Groups

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**HR1** = Infants age 6-12 mo who are: living in poverty, black, Native American or Alaska Native, immigrants from developing countries, preterm and low-birthweight infants, infants whose principal dietary intake is unfortified cow's milk.

**HR2** = Infants born to high-risk mothers whose HIV status is unknown. Women at high risk include: past or present injection drug use; persons who exchange sex for money or drugs, and their sex partners; injection drug-using, bisexual, or HIV-positive sex partners currently or in past; persons seeking treatment for STDs; blood transfusion during 1978-1985.

**HR3** = Persons infected with HIV, close contacts of persons with known or suspected TB, persons with medical risk factors associated with TB, immigrants from countries with high TB prevalence, medically underserved low-income populations (including homeless), residents of long-term care

90% des vorherigen Budgets

Diagnosis: BREAST CYSTS AND OTHER DISORDERS OF THE BREAST (See Guideline Notes 64,65)  
Treatment: MEDICAL AND SURGICAL TREATMENT  
ICD-9: 610,611.2,611.5,611.89  
CPT: 19000-19001,19030-19103,19110-19112,19125-19126,19295,98966-98969,99024,99051,99060,  
99070,99078,99201-99360,99366,99374-99375,99379-99444,99468-99480,99605-99607  
HCPCS: G0406,G0407,G0408,S0270,S0271,S0272,S0273,S0274  
Line: 501

Diagnosis: CERVICITIS, ENDOCERVICITIS, HEMATOMA OF VULVA, AND NONINFLAMMATORY DISORDERS OF THE  
VAGINA (See Guideline Notes 64,65)  
Treatment: MEDICAL AND SURGICAL TREATMENT  
ICD-9: 616.0,623.6,623.8-623.9,624.5  
CPT: 56405,56501,56515,57135,57200,57210,57511,57513,57520,57530,98966-98969,99024,99051,  
99060,99070,99078,99201-99360,99366,99374-99375,99379-99444,99468-99480,99605-99607  
HCPCS: G0406,G0407,G0408,S0270,S0271,S0272,S0273,S0274  
Line: 502

Diagnosis: CYSTS OF BARTHOLIN'S GLAND AND VULVA (See Guideline Notes 64,65)  
Treatment: INCISION AND DRAINAGE, MEDICAL THERAPY  
ICD-9: 616.2,616.5-616.9  
CPT: 10060-10061,11004,56440,56501,56515,56740,57135,98966-98969,99024,99051,99060,99070,  
99078,99201-99360,99366,99374-99375,99379-99444,99468-99480,99605-99607  
HCPCS: G0406,G0407,G0408,S0270,S0271,S0272,S0273,S0274  
Line: 503

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Funding Level as of 1/1/08

Diagnosis: LICHEN PLANUS (See Guideline Notes 64,65)  
Treatment: MEDICAL THERAPY  
ICD-9: 697  
CPT: 11900-11901,98966-98969,99024,99051,99060,99070,99078,99201-99360,99366,99374-99375,  
99379-99444,99468-99480,99605-99607  
HCPCS: G0406,G0407,G0408,S0270,S0271,S0272,S0273,S0274  
Line: 504

10% des vorherigen Budgets

Diagnosis: VULVAL VARICES (See Guideline Notes 64,65)

Treatment: VASCULAR SURGERY

ICD-9: 456.6

CPT: 98966-98969,99024,99051,99060,99070,99078,99201-99360,99366,99374-99375,99379-99444,  
99468-99480,99605-99607

HCPCS: G0406,G0407,G0408,S0270,S0271,S0272,S0273,S0274

Line: 606

Diagnosis: DISEASE OF NAILS, HAIR AND HAIR FOLLICLES (See Guideline Notes 64,65)

Treatment: MEDICAL THERAPY

ICD-9: 703.8-703.9,704.0,704.1-704.9,706.3,706.9,757.4-757.5,V50.0

CPT: 11000-11001,11720-11765,11900-11901,17380,98966-98969,99024,99051,99060,99070,99078,  
99201-99360,99366,99374-99375,99379-99444,99468-99480,99605-99607

HCPCS: G0406,G0407,G0408,S0270,S0271,S0272,S0273,S0274

Line: 607

~~Diagnosis: OBESITY (See Guideline Notes 61,64,65)~~

~~Treatment: NUTRITIONAL/PHYSICAL ACTIVITY COUNSELING AND BEHAVIORAL INTERVENTIONS; BARIATRIC  
SURGERY FOR OBESITY WITHOUT COMORBID TYPE II DIABETES & BMI ≥ 35~~

~~ICD-9: 278.0~~

~~CPT: 43644-43645,43770-43774,43845-43848,98966-98969,99051,99078,99201-99360,99366,99374-  
99375,99381-99412,99441-99444,99605-99607~~

~~HCPCS: G0406,G0407,G0408,S0270,S0271,S0272,S0273,S0274~~

~~Line: 608~~

*[Line 608 and its associated guideline were deleted effective October 1, 2009. See  
lines 8 and 33 and their associated guidelines for situations in which obesity-related  
services are covered.]*

Diagnosis: ACUTE TONSILLITIS OTHER THAN BETA-STREPTOCOCCAL (See Guideline Notes 64,65)

Treatment: MEDICAL THERAPY

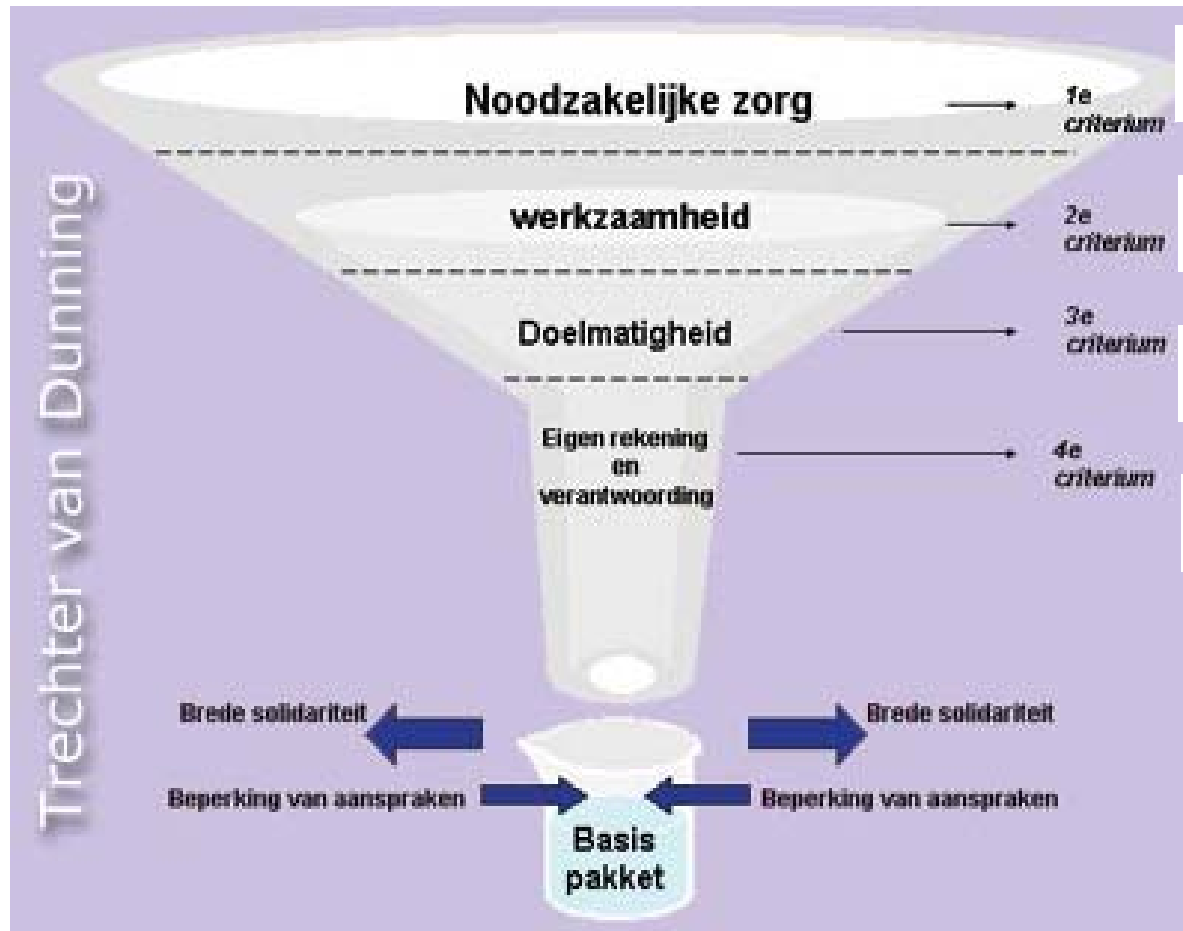
ICD-9: 463

CPT: 98966-98969,99024,99051,99060,99070,99078,99201-99360,99366,99374-99375,99379-99444,  
99468-99480,99605-99607

HCPCS: G0406,G0407,G0408,S0270,S0271,S0272,S0273,S0274

Line: 609

# Niederlande: “Dunning-Filter”

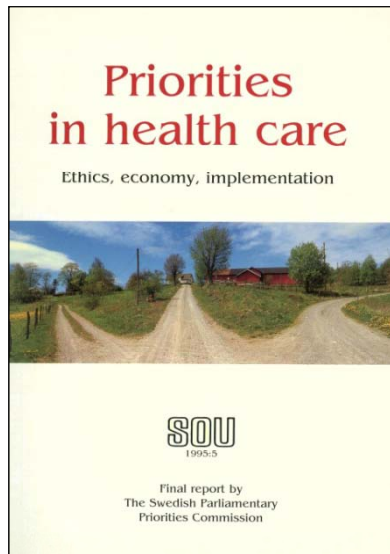


Notwendigkeit

Wirksamkeit

Kosten-Effektivität

Eigenverantwortung



# Schweden: Kriterien für Priorisierung (1995)

„in ranking order“

„The Commission proposes three principles on which priorities should be based:

*The principle of **human dignity**: all people are equal in dignity regardless of personal characteristics and functions in society.*

*The principle of **need and solidarity**: resources should be committed to the person or activity most in need of them.*

*The principle of **cost-efficiency**: ... a reasonable relation between cost and effect ... should be aimed for.“*

## Leitfaden zur Priorisierung bei klinischen Aktivitäten (SOU 1995:5; S. 103)

Priorisierungsgruppe	Versorgungsbereich
IA	Versorgung von lebensbedrohlichen Erkrankungen und Erkrankungen, die unbehandelt zu permanenter Behinderung oder vorzeitigem Tod führen
IB	Versorgung von schwer chronischen Erkrankungen. Palliative Versorgung. Versorgung von Menschen mit eingeschränkter Autonomie
II	Individualisierte Prävention in Kontakten mit medizinischen Diensten. Habilitation/Rehabilitation
III	Versorgung von weniger schweren akuten und chronischen Erkrankungen
IV	Grenzfälle
V	Versorgung aus anderen Gründen als Erkrankungen oder Verletzungen

<u>Principle of Human Dignity</u>			<b>E V I D E N C E</b>
<u>Need for intervention in health care</u>			
<u>Severity of disease</u>	<u>Patient benefit (effect of intervention)</u>	<u>Cost-effectiveness</u>	
<b>Current medical condition</b> <ul style="list-style-type: none"> <li>• <i>symptoms</i></li> <li>• <i>functional capacity</i></li> <li>• <i>quality of life</i></li> </ul> <b>Risk of:</b> <ul style="list-style-type: none"> <li>• <i>premature death</i></li> <li>• <i>permanent disease /injury</i></li> <li>• <i>worsened quality of life</i></li> </ul> <b>Reduced autonomy</b>	<b>Effect on current medical condition</b> <ul style="list-style-type: none"> <li>• <i>symptoms</i></li> <li>• <i>functional capacity</i></li> <li>• <i>quality of life</i></li> </ul> <b>Effect on risk of:</b> <ul style="list-style-type: none"> <li>• <i>premature death</i></li> <li>• <i>permanent disease /injury</i></li> <li>• <i>worsened quality of life</i></li> </ul> <b>Risk of side effects and serious complications from interventions</b>	<b>Direct costs</b> <ul style="list-style-type: none"> <li>• <i>medical interventions</i></li> <li>• <i>non-medical interventions</i></li> </ul> <b>Indirect costs</b> <ul style="list-style-type: none"> <li>• <i>loss of production</i></li> <li>• <i>intangible costs</i></li> </ul> ...in relation to patient benefit	
<b>Prevention → Diagnostics → Treatment → Rehabilitation</b>			

Figure 1. Basic principles for vertical ranking of an area of practice; for example, orthopedics, ear diseases, heart diseases, or stroke.

# Beispiele (Indikationen Stroke Care 2005) – nur vertikale Priorisierung

Priorisierung über Rangplätze

1 – 3 „must do“

4 – 6 „should do“

7 – 10 „can do“

Position	Krankheitszustand/Maßnahme (Indikation)	Priorität
1	Smoking in person without previously known cardiovascular disease who seeks medical care regardless of reason – Short advisory session including nicotine substitute	1
4	Stroke – Care at stroke unit	1
34	Suspected sinus thrombosis – MRI examination	3
58	Impaired communication capacity in stroke – Communication training	5
94	Severe disorder of memory in stroke – Memory training	10



## Beispiele unterschiedlicher Priorisierungen aus der Leitlinie Schlaganfall (Primärprävention durch Statine)

<b>Klinischer Zustand</b>  <i>Intervention</i>	Blutfettstörung, $\geq 5\%$ Risiko für einen kardiovaskulären Tod innerhalb von 10 Jahren (Primärprävention)  <i>Ratschläge für Lebensstiländerungen sowie Simvastatin-Generikum</i>	Blutfettstörung, $\geq 5\%$ Risiko für einen kardiovaskulären Tod innerhalb von 10 Jahren und nicht erreichtes Zielniveau (Primärprävention)  <i>Ratschläge für Lebensstiländerungen sowie Atorvastatin, Pravastatin, Rosuvastatin und Fluvastatin</i>	Blutfettstörung, $< 5\%$ Risiko für einen kardiovaskulären Tod innerhalb von 10 Jahren (Primärprävention)  <i>Ratschläge für Lebensstiländerungen sowie Simvastatin-Generikum</i>	Blutfettstörung (Primärprävention)  <i>Reduzierung der Blutfette mit Atorvastatin, Pravastatin, Rosuvastatin und Fluvastatin als Mittel der Wahl</i>
<b>Schwere der Erkrankung und Bedarf für Intervention</b>	Hohes Risiko eines kardiovaskulären Todes innerhalb von 10 Jahren ( $\geq 5\%$ )	Hohes Risiko eines kardiovaskulären Todes innerhalb von 10 Jahren ( $\geq 5\%$ )	Moderates Risiko eines kardiovaskulären Todes innerhalb von 10 Jahren ( $< 5\%$ )	Geringes Risiko eines kardiovaskulären Todes innerhalb von 10 Jahren ( $< 5\%$ )
<b>Wirkung der Intervention</b>	Geringfügige bis moderate Risikobeeinflussung eines kardiovaskulären Todes (1–10%)	Geringe bis moderate Risikobeeinflussung eines kardiovaskulären Todes (1–10%)	Geringfügige Risikobeeinflussung eines kardiovaskulären Todes (0–2%)	Geringe bis moderate Risikobeeinflussung eines kardiovaskulären Todes (1–10%)
<b>Evidenz für die Wirkung</b>	Evidenzstärke 1	Evidenzstärke 1	Evidenzstärke 1	einige wissenschaftliche Evidenz
<b>Kosten pro gewonnenem Lebensjahr/QALY</b>	gering	gering bis moderat	gering bis moderat	moderat bis hoch
<b>Gesundheitsökonomische Evidenz</b>	geschätzt	geschätzt	geschätzt	geschätzt
<b>Rang</b>	5	7	8	10

# Akteure bei Priorisierung

	<b>Regierungs- mitarbeiter</b>	<b>Gesundheits- professionelle</b>	<b>Laien</b>
Norwegen	JA	JA	JA (Patienten)
Schweden	NEIN (aber Parlamentarier)	JA	NEIN (aber Parlamentarier)
Dänemark	NEIN	JA	JA
<b>Oregon</b>	<b>JA -&gt; NEIN</b>	<b>JA (Mehrheit)</b>	<b>JA (plus initial öffentliche Anhörungen)</b>
Niederlande	NEIN	JA	NEIN
Israel	JA	JA	JA
Neuseeland	NEIN	JA	NEIN (allerdings öffentliche Anhörungen)

# Priorisierungs-Prinzipien

Norwegen 87	Severity of disease, potential effect of intervention, cost-effectiveness
Niederlande 92	Necessity, effectiveness, cost-effectiveness, individual responsibility
Schweden 93	Human dignity, need & solidarity, cost-efficiency
Neuseeland 93	Effectiveness, efficiency, equity, acceptability
Dänemark 97	<u>Values:</u> Equal human worth, solidarity, security & safety, freedom & self-determination <u>Goals:</u> Social & geographical equity, quality, cost-effectiveness, democracy & consumer influence

# Warum ist der „Priorisierungsschwung“ international erlahmt?

Priorisierung ist mit Health Technology Assessment verschmolzen

- in Israel z.B. Ergänzung des nat. Leistungskatalogs durch HTA
- HTA nutzt neben dem Technologiebezug auch den Indikationenbezug
- Medikamente zunehmend teuerste Technologien -> besondere Eignung für gesundheitsök. Evaluation

**Schweden**

SBU 1987

Priorisierungszentrum 2001

Pharmaceutical Benefits Board 2002

**Prioritätensetzung**



**Leitlinien**

**Patienten/ Bürger:**  
Soziodemographie  
-> Equity

**Krankheit & Schweregrad**  
("condition")

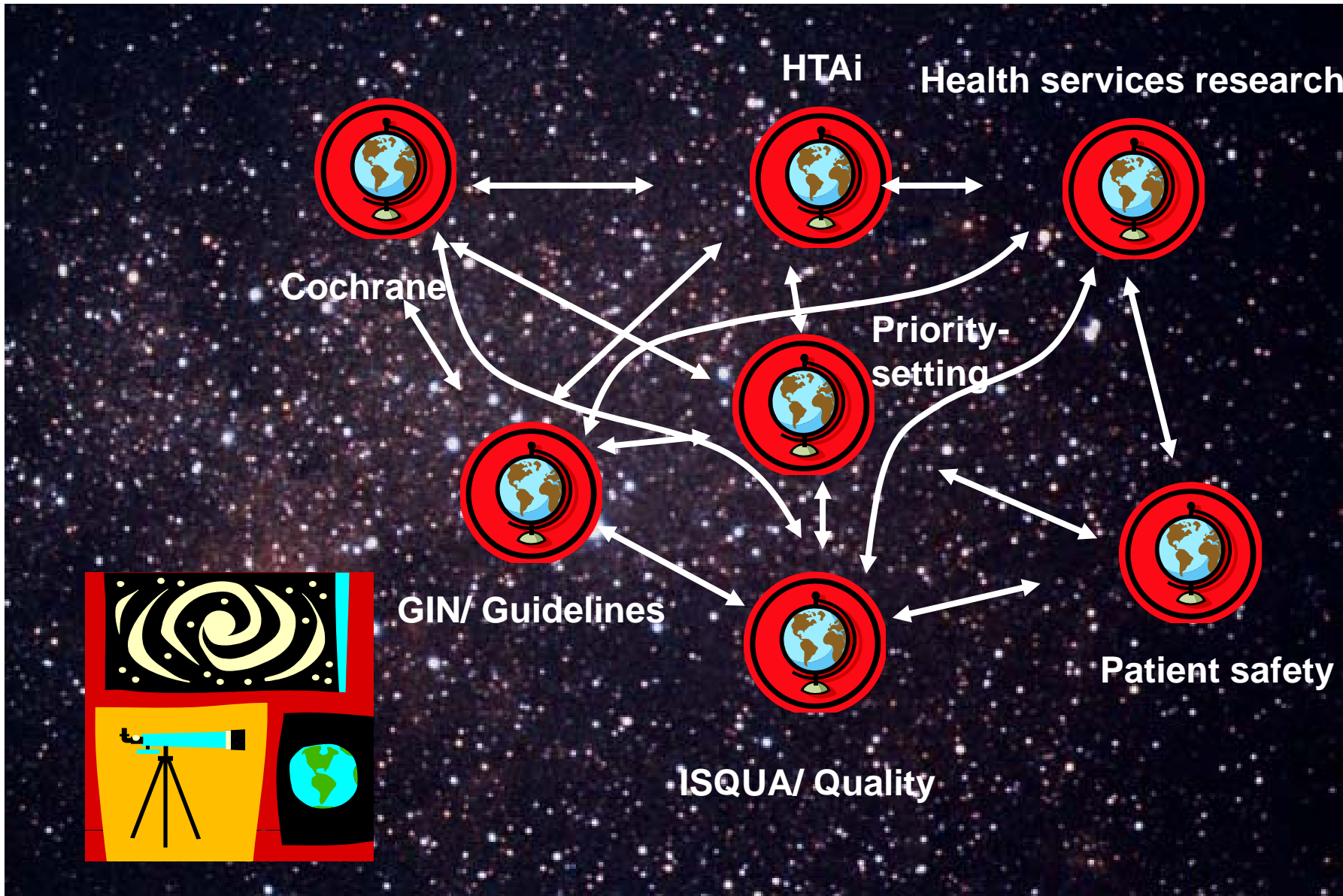


**Technologie/  
Intervention:**  
Wirksamkeit, Kosten-  
Wirksamkeit

**Leistungserbringer:**  
Angemessenheit/  
Indikationsstellung  
("do the right thing") &  
technische Qualität  
("do the thing right")

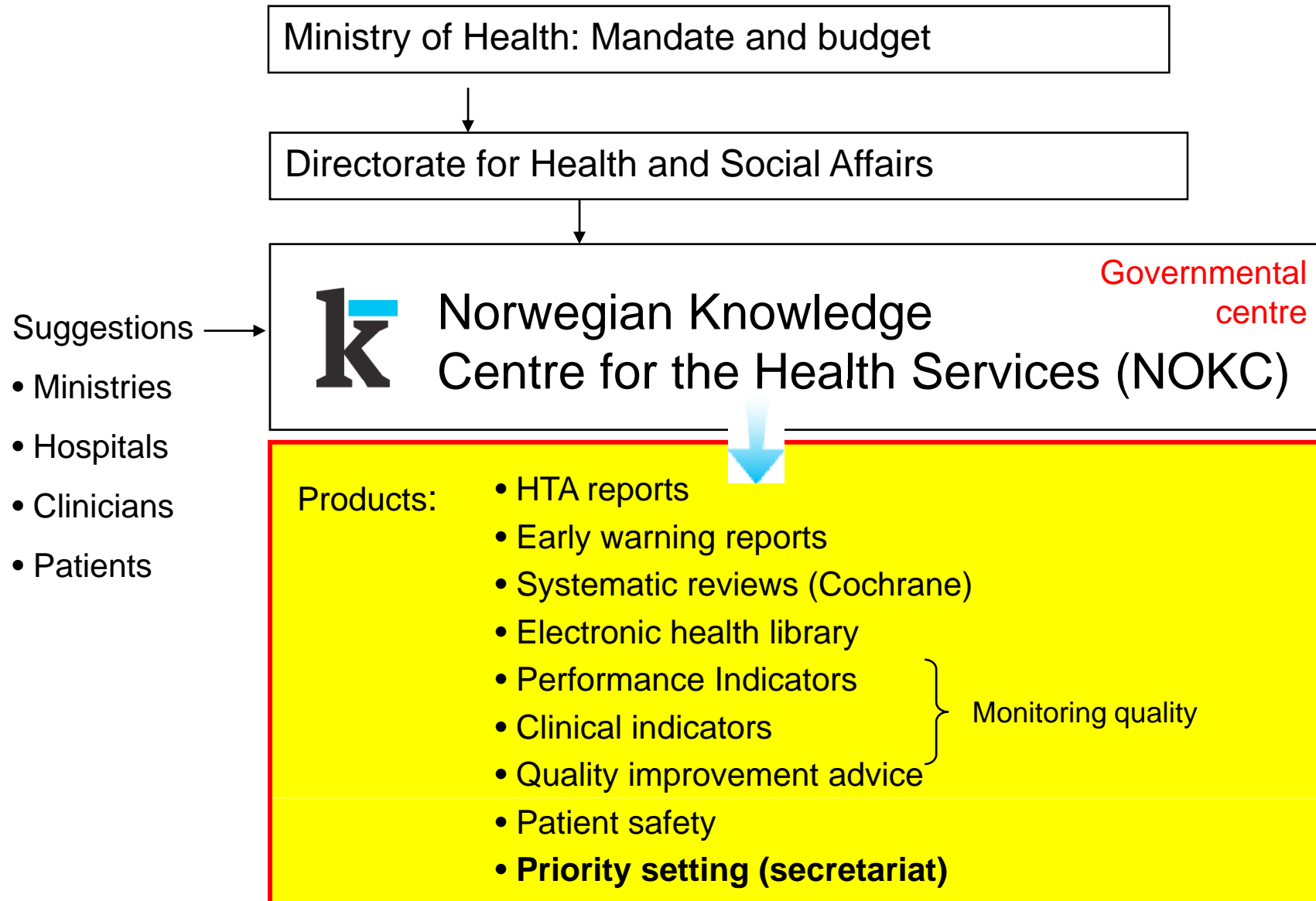
**Health Technology Assessment**

**Qualitätsmanagement**



# Also alles zusammen?

## Das Beispiel Norwegen seit 1.1.2004



# Prioritätensetzung in Norwegen heute (1)

## Background

Norway has introduced the following criteria for priority setting in health care:

- Seriousness of the disease
- Effect of treatment
- Cost-effectiveness of treatment

Norway has introduced the following fundamental values of the health service:

- Efficacy
- Safety
- User involvement
- Coordination and communication
- Cost-effectiveness
- Availability for all



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## Objective

The Council's mission is to advise on decisions in health care concerning priority setting and quality improvement.

*"This Council will make us more confident that we are making wise choices"*

Norwegian Minister of Health, 2007

The main areas for discussion in The Council:

- 1) Unacceptable differences in health care services; socially, geographically
- 2) Introduction of new (and costly) treatment options
- 3) Division of work and functions
- 4) National guidelines
- 5) Coordination between primary and specialist health care services



# Prioritätensetzung in Norwegen heute (2)

## Results

After one year, ca 25 items have been discussed, and most of them resulted in concrete actions from the responsible authorities :

### **Introduction of new and costly technologies.**

The Council has drawn up guidance depending on knowledge and mutual understanding about both the benefits and costs of measures in the health service on

- New cancer drugs
- New biological treatments, i.e. Tysabri at MS Lucentis vs Avastin at AMD
- Costly equipment; i.e Cochlea implantation, PET-scan
- Routine screening procedures, i.e :  
Mammography 40-49 years, Newborns
- Vaccines, i.e. HPV

### **National guidelines**

The Council has initiated work on guidelines on:

- Primary prevention for cardio-vascular diseases
- Diagnosis and Treatment of Sleep apnoe

### **Priority setting**

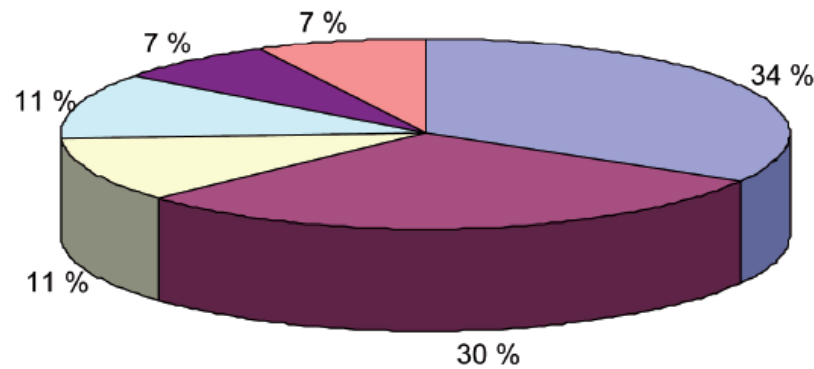
The Council has initiated guidance for priorities:

- Cochlea implantation for adults
- Long term mechanical ventilation at home

### **General systems**

- Public initiation and financing of clinical trials
- National system for assessing and reimbursement of new technology
- Balance between health care professionals in primary and secondary health care

# Prioritatensetzing in Norwegen heute (3)



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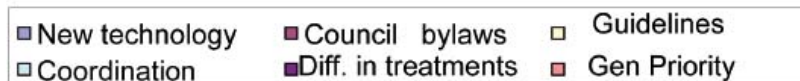


Fig. 1 Topics sorted by main areas: Introduction of new technologies most often discussed

## Conclusions

The Council has based its debates on best evidence (HTAs), but also asked for assessments which illustrate the dilemmas and elements of uncertainty.

# Andere breit aufgestellte HTA-Institutionen



## The roles and responsibilities of NICE since 1 April 2005

NICE produces guidance in three areas:

**Public health** – the promotion of good health and the prevention of ill health for those working in the NHS, local authorities and the wider public and voluntary sector

**Health technologies** – the use of new and existing medicines, treatments and procedures within the NHS

**Clinical practice** – the appropriate treatment and care of people with specific diseases and conditions within the NHS.



- l'analyse des pratiques cliniques et le développement de recommandations de bonne pratique (Good Clinical Practice)
- l'évaluation des technologies médicales (Health Technology Assessment)
- le financement et l'organisation des soins de santé (Health Services Research)
- l'équité et l'étude du comportement des patients (Equity and Patient Behaviour)

... und Schottland, Irland ...

# Wenn Priorisierung Teil von (einem breiteren) HTA ist, dann ist Prioritätensetzung für HTA wichtig

Systemat. Review von Noorani et al. 2007

- analysierte 12 Ansätze bei 11 Agenturen in 10 Ländern: Dänemark, Niederlande, England, Schottland, Schweden, Spanien, Ungarn, Kanada, USA, Israel
- bei Mehrheit erfolgt Priorisierung durch ein beratendes Gremium bestehend aus Vertretern der Kostenträger, Leistungserbringer und Wissenschaftlern
- (nur) vier Ansätze nutzen explizites Bewertungssystem

# Kriterien für Prioritätensetzung

Kriterium	bei Agenturen angewandt
Alternativen	1 (9%)
Budget Impact	6 (55%)
⇒ <b>Klinischer Impact</b>	<b>11 (100%)</b>
Kontroverse	2 (18%)
<b>Krankheitslast</b>	<b>7 (64%)</b>
⇒ <b>Ökonom. Aspekte</b>	<b>10 (91%)</b>
Ethische, rechtl., psychosoziale Folgen	2 (18%)
Evidenzgrundlage	5 (45%)
Erwartetes Interesse	5 (45%)
Zeitgerechtigkeit	4 (36%)
<b>Anwendungsvariation</b>	<b>3 (27%)</b>

Quelle: Noorani et al. 2007



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